

# Intermediary Investment Products Application Form

Share account only - deposit account prohibited by statute.

For use by private individuals only. An additional application form should be completed where there are more than two account holders of the proposed account. For use by UK residents only. Corporate Bodies, Companies resident in the UK, Trustees for partnerships, charities and unincorporated clubs/associations should not complete this form but should ask for the appropriate form.

**Important Notice:** Before completing this form please read carefully the terms and conditions relating to this account as we shall seek to rely on them. These are contained in the marketing literature and the Investment Account Terms and Conditions. In addition, please read the notes under Customer Identification below. Please complete this form and then read and sign the Agreement to assign windfalls to charity and the Declarations overleaf. If you do not understand any point or require assistance in completing this form, please call our contact centre on 08458 501722\* or call your local Intermediary Sales Manager on 0800 87 66 010\*.

## Broker details

Broker Name \_\_\_\_\_

Email Address \_\_\_\_\_

Intermediary Sales Manager name \_\_\_\_\_ Cost Centre \_\_\_\_\_

Company status  Appointed Representative  Directly Authorised  Mortgage Club  Non Regulated

FSA registration no. (if applicable) \_\_\_\_\_

Network/Mortgage Club (if applicable) \_\_\_\_\_

Network/Mortgage Club address (if applicable) \_\_\_\_\_

## Account information

Type of account - \_\_\_\_\_ (This field must be completed in order for us to complete your application)

Opening Investment - Cheques £ \_\_\_\_\_

**NOTE:** Cheques should be payable to **SKIPTON BUILDING SOCIETY RE: THE ACCOUNT HOLDER(S)**

I authorise you to transfer £ \_\_\_\_\_ from my/our Skipton Account Number - \_\_\_\_\_ (Please enclose your passbook)

Please indicate the number of signatures required for each withdrawal.

**Names** - If more than 2 account holders are required, please complete an additional application form (maximum of 4 on an account). Only the first named account holder will be recorded in the Society's records as the Representative Joint Shareholder for the account.

Mr/Mrs/Miss/Ms	Surname	First name and other initials	Date of birth (dd/mm/yy)	National Insurance No.
1.				
2.				

**Address** - Please complete for both people named in 1 and 2 above - Please note that we are unable to accept c/o addresses and P.O. Box Numbers.

1. \_\_\_\_\_ 2. \_\_\_\_\_

	Postcode		Postcode
Tel No. Day	Evening	Tel No. Day	Evening
Mobile	Occupation	Mobile	Occupation
Place of birth: Town	Country	Place of birth: Town	Country
Nationality	Country of residency	Nationality	Country of residency

If you would like to receive the Society's emails, which details products, information and services provided by the Society, please enter your email address below and tick this box .

If you would like to receive the Society's emails, which details products, information and services provided by the Society, please enter your email address below and tick this box .

## Interest instructions -

Please tick the appropriate box and enter the relevant account holder(s) details. Please refer to the product leaflet for the available interest options.

Please add the interest to the account.  Please transfer the interest annually/monthly\* ('delete as appropriate) to Skipton Acc. No. \_\_\_\_\_

Please pay the interest annually/monthly\* ('delete as appropriate) direct to the Bank/Building Society Account below:

Account Holders' Name(s) \_\_\_\_\_ Account Number \_\_\_\_\_ Sort Code \_\_\_\_\_

Bank/Building Society Name \_\_\_\_\_ Account Reference \_\_\_\_\_

**Taxation of interest:** In order to claim interest gross (i.e. without the Society deducting income tax at the basic rate which it is normally obliged to do) a complete, valid HM Revenue & Customs form R85 must be received and registered by every investor.

## Customer identification - We are unable to open a new account without sufficient identification.

If you are an existing customer of the Society you will not be required to supply any identification. In all other cases we may use an electronic verification system. In certain circumstances we may require further proof of your identity and address. Please refer to the 'Proving Your Identity' leaflet enclosed.

N.B. For all accounts not opened in person, cheques must be drawn from a personal current account or if issued by a Bank or Building Society, must bear your name and account number from which the funds are drawn.

**NOTE:** If an account is to be opened by an Attorney, please complete an Investment Account Registration Form.

## Agreement to assign windfalls to charity

**Note:** This Agreement does not apply to you if:

- (i) you have held shares in the Society (other than permanent interest bearing shares) at all times since 29th February 2000 or
- (ii) you have already entered into an agreement in either the same or similar terms with the Society and have held shares in the Society (other than permanent interest bearing shares) continuously since the date the account for which you were then applying was opened or
- (iii) you are, in respect of the account for which you are now applying, in one of the other groups of people that the Society has decided should be exempt\*.

Where more than one of you is signing this form, the above Note and, if applicable, the rest of this Agreement apply to each of you separately.

1. I agree with the Society that I will assign to the **selected charity** my right to receive any **windfall benefits**. I authorise the Society and any **successor** to pass any **windfall benefits** direct to the **selected charity** (or to any other registered charity which the **selected charity** may nominate) without giving any notice to me. I understand that the **selected charity** will have the benefit of this Agreement, and that neither it nor the Society will release me from it or vary its terms, even if the Society decides at some stage in the future that new shareholding members generally will not be required to enter into similar agreements. I authorise the Society to give the **selected charity** any information about me and any account that I have with the Society (either now or in the future) but only if the **selected charity** reasonably needs it for any purpose arising out of this Agreement. I understand that if the Society no longer exists following a merger with another building society, this Agreement will still apply between me and the other society.
2. In this Agreement:
  - (a) "**selected charity**" means the Skipton Building Society Charitable Foundation or, if it ceases to be registered as a charity, any other registered charity selected by it;
  - (b) "**windfall benefits**" means any benefits which I may become entitled to as a shareholding member of the Society under the terms of any future transfer of the Society's business to a **successor** (i.e. on a conversion or take-over), where the transfer to the **successor** is publicly announced within five years of the day on which I become a holder (either sole or joint) of the account for which I am now applying (or such shorter period as applies to me if I am in one of the groups of people that the Society has decided do not need to agree to the usual five year period\*). The expression "**windfall benefits**" does not include either (i) the right to have savings in a share account with the Society replaced by savings in a deposit account with the **successor** or (ii) if I am in one of the groups of people that the Society has decided may keep some or all benefits\*, those benefits which the Society has decided I may keep; and
  - (c) "**successor**" means any company or other corporate body to which the Society transfers its business under Section 97 of the Building Societies Act 1986 (or under any provision which amends or replaces it).

\* Details of these groups and (where applicable) the shorter periods that apply and the benefits that may be kept are available from the Society. The number and composition of the groups may be changed from time to time but no change will apply retrospectively.

### Declarations

- (a) I confirm that I have read the Important Notice at the start of this form and have received:
  - (i) the marketing literature and
  - (ii) The Investment Account Terms and Conditions ("the conditions") and agree to be bound by them and the Rules of the Society (copies of which are available upon request) and any subsequent conditions and Rules for the time being in force.
- (b) I confirm that I am aware that the type of account I am opening is a share account and I understand that only the first named account holder will initially be recorded in the Society's records as the Representative Joint Shareholder for the

account. Subject to the Rules of the Society, only the Representative Joint Shareholder will have voting rights.

(c) I declare that any share(s) acquired by me under this account will not be held as a bare trustee (or in Scotland as a simple trustee) for a body corporate, or for persons who include a body corporate.

(d) If any provision relating to this account is unenforceable for any reason this will not affect the enforceability of any other provision.

### Your Personal Data

(e) For the purposes of the Data Protection Act 1998 the Society is the Data Controller responsible for the processing of my personal data. I understand that I may request in writing, upon payment of a fee, a copy of the details held about me by the Society and where necessary rectify the information that is held about me.

(f) I agree to the processing of my personal data for the purposes of opening and administering my account, contacting me where necessary, fraud prevention and detection, legal and regulatory compliance, marketing and market research, statistical analysis and after closure of the account for statutory, regulatory, accounting, auditing or other lawful requirements.

(g) I consent to the disclosure of details about the progress of my application to my Solicitor or other third party acting on my behalf if the application was submitted by them with my authority. I consent to the Society using and disclosing the information contained in my application form and about my account to prevent, or detect fraud, or to assist in verifying my identity, the Society may make searches of Group records and at fraud prevention and credit reference agencies who will supply information. This information may also be used for the prevention of Money Laundering as well as the ongoing maintenance of my account.

(h) If false or inaccurate information is provided and fraud is identified, details will be passed to fraud prevention agencies to prevent fraud and money laundering.

(i) Further details explaining how the information held by fraud prevention agencies may be used can be obtained by writing to Compliance department, Skipton Building Society, The Bailey, Skipton, North Yorkshire BD23 1 DN, or from our website at [skipton.co.uk](http://skipton.co.uk)

### Marketing Consent

I consent to receiving details of other products and services and confirm that:

(j) The Society may use the information contained in this form and the analysis of the operation of my account for marketing purposes to allow it to provide details of products or services it may believe to be of interest to me.

(k) I understand that I can request not to receive any details from the Society about its own or Skipton Building Society Group products by writing to it at The Bailey, Skipton BD23 1DN, or by ticking this box: Applicant 1  Applicant 2

(l) I understand the information provided in this application may be shared by the Society with other companies within the Skipton Building Society Group (a list of which is available on request) for marketing purposes. I understand that I may request the Society not to share my personal details with these companies by writing to it at The Bailey, Skipton BD23 1DN, or by ticking this box:

Applicant 1  Applicant 2

(m) I can request not to receive any details by telephone about the Society's products by writing to it at The Bailey, Skipton BD23 1DN at any time, or by ticking this box: Applicant 1  Applicant 2

(n) I consent to receiving details of the Society's products and services by email, by ticking this box, note, if you do not tick the box, you will still receive the emails, if you have consented to receive them on the front of this form:

Applicant 1  Applicant 2

Applicant 1:

Usual signature – \_\_\_\_\_ Date - \_\_\_\_\_

Applicant 2:

Usual signature – \_\_\_\_\_ Date - \_\_\_\_\_

### For Skipton Building Society office use only (must be completed in BLACK)

Input by \_\_\_\_\_ Date \_\_\_\_\_ Checked by \_\_\_\_\_ Amended by \_\_\_\_\_ Account No. 

--	--	--	--	--	--	--	--	--	--	--	--

Principal Office, The Bailey,  
Skipton, North Yorkshire BD23 1DN  
Telephone: 0800 87 66 010\*

[skipton-intermediaries.co.uk](http://skipton-intermediaries.co.uk)

Skipton Building Society is authorised and regulated by the Financial Services Authority (FSA) for accepting deposits, insurance and regulated mortgages, for UK residents only unless otherwise stated. Registered under number 153706. A member of the Building Societies Association.\*To help maintain service and quality, some telephone calls may be recorded and monitored.

intermediariesmatter

